

Electronic Acknowledgement Receipt

EFS ID:	10999998
Application Number:	10562615
International Application Number:	
Confirmation Number:	6138
Title of Invention:	Tricyclic derivatives or pharmaceutically acceptable salts thereof, their preparations and pharmaceutical compositions containing them
First Named Inventor/Applicant Name:	Myung-Hwa Kim
Customer Number:	20311
Filer:	Donald C. Lucas/Yun H Choe
Filer Authorized By:	Donald C. Lucas
Attorney Docket Number:	428.1145
Receipt Date:	21-SEP-2011
Filing Date:	27-JUL-2006
Time Stamp:	13:38:58
Application Type:	U.S. National Stage under 35 USC 371

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Supplemental Response or Supplemental Amendment	428-1145_Suppl_response_201 1-09-21.pdf	144955 2f8d95329554bc2f6d13dd890ff7ee38c4f44dd	no	3

Warnings:

Information:

2	Rule 130, 131 or 132 Affidavits	428-1145_Declaration_1-132.pdf 81bf271d80fb48c1b11bd261c94473e15e a4	134204 81bf271d80fb48c1b11bd261c94473e15e a4	no	5
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Warnings:

Information:

3	Miscellaneous Incoming Letter	Exhibit_CV.pdf	214815 81bf61a112/a0176/9563049c0df1c0f147/91 28a8	no	6
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Warnings:

Information:

Total Files Size (in bytes):

493974

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.